# 4. A compound of the formula:

$$R^{1}$$
 $R^{2}$ 
 $R^{2}$ 
 $R^{3}$ 
 $R^{5}$ 
 $R^{5}$ 

wherein

R<sup>1</sup> is OH, O(CH<sub>2</sub>)<sub>1-2</sub>OH, OCH<sub>2</sub>CO<sub>2</sub>H, CO<sub>2</sub>H, O-Z-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup> or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup>;

R<sup>2</sup> is H or lower alkyl;

R<sup>3</sup> is H, alkyl, aryl, or arylalkyl;

R<sup>4</sup> and R<sup>5</sup> are each independently H, lower alkyl, or substituted lower alkyl where the substituents are 1-3 alkoxy, aryl, substituted aryl, carboalkoxy, carboxamido or di-loweralkylamido; or

 $R^4 \text{ and } R^5 \text{ taken together are -}(CH_2)_n\text{-}, -(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}, -CH_2\text{-}O\text{-}(CH_2)_3\text{-}, -(CH_2)_2\text{-}NR^8\text{-}CH_2)_2\text{-}, }\\ -CH_2\text{-}NR^8\text{-}(CH_2)_m\text{-}, -(CH_2)_2\text{CH}(NHR^8)(CH_2)_2\text{-}, -(CH_2)_2\text{-}S(O)_{0\text{-}2}\text{-}(CH_2)_2\text{-}, }\\ -CH_2\text{CH}(N\text{-loweralkyl})(CH_2)_2\text{CHCH}_2\text{-}, \\ -CH_2\text{CH}(N\text{-loweralkyl})(CH_2)_2\text{CHCH}_2\text{-}, \\ -CH_2\text{-}O\text{-}(CH_2)_2\text{-}S(O)_{0\text{-}2}\text{-}(CH_2)_2\text{-}, \\ -CH_2\text{-}O\text{-}(CH_2)_2\text{-}S(O)_2\text{-}(CH_2)_2\text{-}, \\ -CH_2\text{-}O\text{-}(CH_2)_2\text{-}S(O)_2\text{-}(CH_2)_2\text{-}, \\ -CH_2\text{-}O\text{-}(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}, \\ -CH_2\text{-}O\text{-}(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}O\text{-}(CH_2)_2\text$ 

one of R<sup>6</sup> and R<sup>7</sup> is H and the other is H, OH, or N(CH<sub>2</sub>)<sub>1-6</sub>R<sup>14</sup>R<sup>15</sup>, or

 $R^6$  and  $R^7$  taken together are  $R^2$ ,  $R^2$ , or  $R^2$ , with the proviso that

when  $R^1$  is -OH and  $R^2$  is -H,  $R^6$  and  $R^7$  are not -H and -OH or when taken together are not  $\stackrel{\frown}{,}$ 

R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl, or heteroaryl lower alkyl, wherein aryl is optionally substituted with 1-3 substituents selected from lower alkyl, lower alkoxy, halo, CN, NH<sub>2</sub>, COOH, CONH<sub>2</sub>,

carboalkoxy, and mono- or di-lower alkylamino and wherein heteroaryl is a mono- or bicyclic heteroaromatic ring system of 5 to 10 members including 1 to 3 heteroatoms selected from O, N, and S and 0-3 substituents selected from halo, amino, cyano, lower alkyl, carboalkoxy, CONH<sub>2</sub>, and S-lower alkyl;

- R<sup>9</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl, aryl substituted by 1-3 substituents selected from alkyl, alkenyl, alkoxy, methylene dioxy, and halo, or a 5- to 6-membered heterocyclic ring containing O or N as a heteroatom, wherein heteroaryl is a heteroaromatic ring of 5 to 6 members including 1 to 2 heteroatoms selected from O, N, and S and 0-2 substituents selected from lower alkyl, dialkylamino, lower alkoxy, and halo;
- R<sup>10</sup> and R<sup>11</sup> are each independently lower alkyl, aryl, aryl lower alkyl, or aryl substituted by 1-3 substituents selected from lower alkyl, halo, alkoxy and haloalkyl;
- R<sup>12</sup> is lower alkyl, aryl, heteroaryl, aryl lower alkyl, heteroaryl lower alkyl, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N-lower alkyl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, sulfamoyl, lower alkyl sulfamoyl, cyano, and phenyl;
- R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, CN, and haloalkyl;
- R<sup>14</sup> is H, alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl; -CH<sub>2</sub>NR<sup>16</sup>C(O)R<sup>16</sup>;-C(O)NR<sup>16</sup>R<sup>16</sup>; -CH<sub>2</sub>OC(O)R<sup>16</sup>; or -CH<sub>2</sub>SC(O)R<sup>16</sup>;
- R<sup>15</sup> is H, alkyl, -C(O)X, -C(S)X, or -C(NCN)NR<sup>3</sup>R<sup>3</sup>;
- R<sup>16</sup> is lower alkyl, substituted lower alkyl, aryl, or substituted aryl;
- R<sup>17</sup> is H, alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl;

heteroaryl; substituted heteroaryl; heterocycloalkyl; - $CH_2NR^{16}C(O)R^{16}$ ; - $C(O)NR^{16}R^{16}$ ; - $CH_2OC(O)R^{16}$ ; or - $CH_2SC(O)R^{16}$ ;

X is alkyl, aryl, arylalkyl, O-loweralkyl, or -NR<sup>3</sup>R<sup>3</sup>;

Z is  $-(CH_2)_{1-6}$ , optionally substituted with 1-3 lower alkyl;  $-CHR^2$ -;  $-Phe-CH_2$ -, where Phe is optionally mono-substituted with halogen, lower alkyl, or alkoxy; or heteroarylene- $(CH_2)$ -;

m is 2 or 3; and

n is 4-9;

or a pharmaceutically acceptable salt thereof.

1.7 1.7

- 5. A compound of claim 4, wherein R<sup>12</sup> is sulfamoylphenyl
- 6. A compound of claim 4, wherein  $R^{12}$  is p-sulfamoylphenyl.
- 7. A compound of claim 4, wherein:

 $R^1$  is OH, OCH<sub>2</sub>C(O)NH(CH<sub>2</sub>)<sub>1.6</sub>R<sup>17</sup>, or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1.6</sub>R<sup>17</sup>;

R<sup>4</sup> and R<sup>5</sup> are each lower alkyl; or

 $R^6/R^7$  are H/OH or  $-S(CH_2)_2S$ -,

- R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl wherein the heteroatoms include 1 to 3 N atoms and the substituents are halo or amino, heteroaryl lower alkyl wherein heteroaryl is 6-membered and the heteroatoms are N, or aryl lower alkyl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;
- R<sup>9</sup> is lower alkyl, aryl lower alkyl, aryl, tetrahydrofuranyl, tetrahydropyranyl, or aryl substituted by 1 to 2 substituents selected from lower alkyl, alkenyl, alkoxy, methylene dioxy, and halo;

R<sup>10</sup> and R<sup>11</sup> are each independently aryl, aryl lower alkyl, or aryl substituted by 1 substituent selected from lower alkyl, halo, alkoxy, trifluoromethyl, and pentafluoroethyl;

R<sup>12</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl lower alkyl wherein the heteroatoms are N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from S and N lower alkyl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, halo, sulfamoyl, cyano, or phenyl; and

R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;

or a pharmaceutically acceptable salt thereof.

38. A compound of claim 4 wherein:

 $R^1$  is OCH<sub>2</sub>CO<sub>2</sub>H;

 $R^2$  is -H;

 $R^4$  and  $R^5$  taken together are  $-(CH_2)_2$ -S- $-(O)_2$ - $-(CH_2)_2$ -; and one of  $R^6$  and  $R^7$  is -H and the other is -H or  $-N(CH_2)_{1.6}R^{14}R^{15}$ .

The foregoing amended claims effect the following changes:

4. (thrice amended) A compound of the formula:

$$R^{1} \xrightarrow{5} R^{6} R^{7}$$

$$R^{2} \times R^{5} I$$

wherein:

R<sup>1</sup> is OH, O(CH<sub>2</sub>)<sub>1-2</sub>OH, OCH<sub>2</sub>CO<sub>2</sub>H, CO<sub>2</sub>H, O-Z-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup> or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup>;

R<sup>2</sup> is H or lower alkyl;

R<sup>3</sup> is H, alkyl, aryl, or arylalkyl;

R<sup>4</sup> and R<sup>5</sup> are each independently H, lower alkyl, or substituted lower alkyl where the substituents are 1-3 alkoxy, aryl, substituted aryl, carboalkoxy, carboxamido or di-loweralkylamido; or

 $R^4 \text{ and } R^5 \text{ taken together are -}(CH_2)_n\text{-}, -(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}, -CH_2\text{-}O\text{-}(CH_2)_3\text{-}, -(CH_2)_2\text{-}NR^8\text{-}CH_2)_2\text{-}, }\\ -CH_2\text{-}NR^8\text{-}(CH_2)_m\text{-}, -(CH_2)_2\text{CH}(NHR^8)(CH_2)_2\text{-}, -(CH_2)_2\text{-}S(O)_{0\text{-}2}\text{-}(CH_2)_2\text{-}, }\\ -CH_2\text{CH}(N\text{-}loweralkyl)(CH_2)_2\text{CHCH}_2\text{-}; }$ 

one of R<sup>6</sup> and R<sup>7</sup> is H and the other is H, OH, or N(CH<sub>2</sub>)<sub>1-6</sub>R<sup>14</sup>R<sup>15</sup>, or

 $R^6$  and  $R^7$  taken together are  $[\begin{picture}(1,0)\line(0,$ 

- R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl, or heteroaryl lower alkyl, wherein aryl is optionally substituted with 1-3 substituents selected from lower alkyl, lower alkoxy, halo, CN, NH<sub>2</sub>, COOH, CONH<sub>2</sub>, carboalkoxy, and mono- or di-lower alkylamino and wherein heteroaryl is a mono- or bicyclic heteroaromatic ring system of 5 to 10 members including 1 to 3 heteroatoms selected from O, N, and S and 0-3 substituents selected from halo, amino, cyano, lower alkyl, carboalkoxy, CONH<sub>2</sub>, and S-lower alkyl;
- R<sup>9</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl, aryl substituted by 1-3 substituents selected from alkyl, alkenyl, alkoxy, methylene dioxy, and halo, or a 5- to 6-membered heterocyclic ring [wherein the hetero atom is] containing O or N as a heteroatom, wherein

- heteroaryl is a heteroaromatic ring of 5 to 6 members including 1 to 2 heteroatoms selected from O, N, and S and 0-2 substituents selected from lower alkyl, dialkylamino, lower alkoxy, and halo;
- R<sup>10</sup> and R<sup>11</sup> are each independently lower alkyl, aryl, aryl lower alkyl, or aryl substituted by 1-3 substituents selected from lower alkyl, halo, alkoxy and haloalkyl;
- R<sup>12</sup> is lower alkyl, aryl, heteroaryl, aryl lower alkyl, heteroaryl lower alkyl, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N-lower alkyl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, sulfamoyl, lower alkyl sulfamoyl, cyano, and phenyl;
- R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, CN, and haloalkyl;
- R<sup>14</sup> is H; alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl; -CH<sub>2</sub>NR<sup>16</sup>C(O)R<sup>16</sup>;-C(O)NR<sup>16</sup>R<sup>16</sup>; -CH<sub>2</sub>OC(O)R<sup>16</sup>; or -CH<sub>2</sub>SC(O)R<sup>16</sup>;
- $R^{15}$  is H, alkyl, -C(O)X, -C(S)X, or  $-C(NCN)NR^3R^3$ ;
- R<sup>16</sup> is lower alkyl, substituted lower alkyl, aryl, or substituted aryl;
- $R^{17}$  is H; alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl;  $-CH_2NR^{16}C(O)R^{16}$ ;  $-C(O)NR^{16}R^{16}$ ;  $-CH_2OC(O)R^{16}$ ; or  $-CH_2SC(O)R^{16}$ ;
- X is alkyl, aryl, arylalkyl, O-loweralkyl, or -NR<sup>3</sup>R<sup>3</sup>;
- Z is  $-(CH_2)_{1-6}$ , optionally substituted with 1-3 lower alkyl;  $-CHR^2$ -;  $-Phe-CH_2$ -, where Phe is optionally mono-substituted with halogen, lower alkyl, or alkoxy; or heteroarylene- $(CH_3)$ -;
- m is 2 or 3; and

n is 4-9;

or a pharmaceutically acceptable salt thereof.

- 5. (once amended) A compound of claim 4. wherein  $R^{12}$  is sulfamoylphenyl.
- 6. (once amended) A compound of claim 4  $\cdot$  wherein R<sup>12</sup> is p-sulfamoylphenyl.
- 7. (once amended) A compound of claim 4 \_ wherein:
- R<sup>1</sup> is OH, OCH<sub>2</sub>C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup>, or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup>;

R4 and R5 are each lower alkyl; or

 $R^4 \text{ and } R^5 \text{ taken together are -(CH$_2)$_5-, -(CH$_2)$_2-O-(CH$_2)$_2-, -(CH$_2)$_2-NR$^8-(CH$_2)$_2-, -(CH$_2)$_2-S-(CH$_2)$_2-, or -CH$_2CH(NCH$_3)(CH$_2)$_2CHCH$_2- ; }$ 

 $R^6/R^7$  are H/OH [ ; =O , ] or  $-S(CH_2)_2S$ -;

- is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl wherein the [ring members] heteroatoms include 1 to 3 N atoms and the substituents are halo or amino, heteroaryl lower alkyl wherein heteroaryl is 6-membered and the heteroatoms are N, or aryl lower alkyl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;
- R<sup>9</sup> is lower alkyl, aryl lower alkyl, aryl, tetrahydrofuranyl, tetrahydropyranyl, or aryl substituted by 1 to 2 substituents selected from lower alkyl, alkenyl, alkoxy, methylene dioxy, and halo;
- $R^{10}$  and  $R^{11}$  are each independently aryl, aryl lower alkyl, or aryl substituted by 1 substituent selected from lower alkyl, halo, alkoxy, trifluoromethyl, and pentafluoroethyl;
- R<sup>12</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl lower alkyl wherein the heteroatoms are N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from S and N

lower alkyl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, halo, sulfamoyl, cyano, or phenyl; **and** 

R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;

or a pharmaceutically acceptable salt thereof.

38. (once amended) A compound of claim 4 wherein:

 $R^1$  is  $OCH_2CO_2H$ ;

 $R^2$  is -H,

 $R^4$  and  $R^5$  taken together are -(CH<sub>2</sub>)<sub>2</sub>-S-(O)<sub>2</sub>-(CH<sub>2</sub>)<sub>2</sub>-; and

one of  $R^6$  and  $R^7$  is -H and the other is -H or -N(CH<sub>2</sub>)<sub>1-6</sub> $R^{14}R^{15}$  [;

 $R^{14}$  is -H, and

 $R^{15}$  is alkyl ].

## III. New Claims

Please add new claims 39-49.

39. A compound of claim 38 wherein:

R<sup>14</sup> is -H, and

R<sup>15</sup> is alkyl.



# 40. A compound of the formula:

$$R^{1} \xrightarrow{5} R^{6} R^{7}$$

$$R^{2} \times R^{2} \times R^{5} = II$$

wherein:

R<sup>1</sup> is OCH<sub>2</sub>CO<sub>2</sub>H;

 $R^2$  is H;

 $R^4$  and  $R^5$  taken together are -(CH<sub>2</sub>)<sub>2</sub>-S-(O)<sub>2</sub>-(CH<sub>2</sub>)<sub>2</sub>-; and

one of  $R^6$  and  $R^7$  is -H and the other is -H or -N(CH<sub>2</sub>)<sub>1-6</sub> $R^{14}R^{15}$ ,

wherein:

R<sup>14</sup> is H; alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl; -CH<sub>2</sub>NR<sup>16</sup>C(O)R<sup>16</sup>; -C(O)NR<sup>16</sup>R<sup>16</sup>; -CH<sub>2</sub>OC(O)R<sup>16</sup>; or -CH<sub>2</sub>SC(O)R<sup>16</sup>;

wherein:

 $R^{16}$  is lower alkyl, substituted lower alkyl, aryl, or substituted aryl; and  $R^{15}$  is H, alkyl, -C(O)X, -C(S)X, or  $-C(NCN)NR^3R^3$ ; wherein:

X is alkyl, aryl, arylalkyl, O-loweralkyl, or -NR<sup>3</sup>R<sup>3</sup>; and R<sup>3</sup> is H, alkyl, aryl, or arylalkyl.

41. A compound of claim 40, wherein:

 $R^{14}$  is -H; and

R<sup>15</sup> is alkyl.

# 42. A compound of the formula:

$$R^{1} \xrightarrow{5} R^{6} R^{7}$$

$$R^{2} \times R^{2} \times R^{5} = II$$

wherein:

R<sup>1</sup> is OH, O(CH<sub>2</sub>)<sub>1-2</sub>OH, OCH<sub>2</sub>CO<sub>2</sub>H, CO<sub>2</sub>H, O-Z-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup> or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup>;

R<sup>2</sup> is H or lower alkyl;

R<sup>3</sup> is H, alkyl, aryl, or arylalkyl;

R<sup>4</sup> and R<sup>5</sup> are each independently H, lower alkyl, or substituted lower alkyl where the substituents are 1-3 alkoxy, aryl, substituted aryl, carboalkoxy, carboxamido or di-loweralkylamido; or

 $R^4 \text{ and } R^5 \text{ taken together are -}(CH_2)_n\text{-}, -(CH_2)_2\text{-}O\text{-}(CH_2)_2\text{-}, -CH_2\text{-}O\text{-}(CH_2)_3\text{-}, -(CH_2)_2\text{-}NR^8\text{-}CH_2)_2\text{-}, }\\ -CH_2\text{-}NR^8\text{-}(CH_2)_m\text{-}, -(CH_2)_2\text{CH}(NHR^8)(CH_2)_2\text{-}, -(CH_2)_2\text{-}S(O)_{0\text{-}2}\text{-}(CH_2)_2\text{-}, \text{ or }\\ -CH_2\text{CH}(N\text{-loweralkyl})(CH_2)_2\text{CHCH}_2\text{-}; }$ 

one of  $R^6$  and  $R^7$  is H and the other is H, OH, or  $N(CH_2)_{1-6}R^{14}R^{15}$ ; or

 $R^6$  and  $R^7$  taken together are  $R^2$ ,  $R^2$ ,  $R^2$ , or  $R^2$ , with the proviso that when  $R^1$  is -OH and  $R^2$  is -H,  $R^6$  and  $R^7$  are not -H and -OH or when taken together are not  $R^2$  or  $R^2$  and when  $R^1$  is -OCH<sub>2</sub>CO<sub>2</sub>H and  $R^4$  and  $R^5$  are both -H or methyl,  $R^6$  and  $R^7$  taken together is not  $R^2$ :

R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl, or heteroaryl lower alkyl, wherein aryl is optionally substituted with 1-3 substituents selected from lower alkyl, lower alkoxy, halo, CN, NH<sub>2</sub>, COOH, CONH<sub>2</sub>, carboalkoxy, and mono- or di-lower alkylamino and wherein heteroaryl is a mono- or bicyclic heteroaromatic ring system of 5 to 10 members including 1 to 3 heteroatoms selected from O, N, and S and 0-3 substituents selected from halo, amino, cyano, lower



- alkyl, carboalkoxy, CONH<sub>2</sub>, and S-lower alkyl;
- R<sup>9</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl, aryl substituted by 1-3 substituents selected from alkyl, alkenyl, alkoxy, methylene dioxy, and halo, or a 5- to 6-membered heterocyclic ring containing O or N as a heteroatom, wherein heteroaryl is a heteroaromatic ring of 5 to 6 members including 1 to 2 heteroatoms selected from O, N, and S and 0-2 substituents selected from lower alkyl, dialkylamino, lower alkoxy, and halo;
- R<sup>10</sup> and R<sup>11</sup> are each independently lower alkyl, aryl, aryl lower alkyl, or aryl substituted by 1-3 substituents selected from lower alkyl, halo, alkoxy and haloalkyl;
- R<sup>12</sup> is lower alkyl, aryl, heteroaryl, aryl lower alkyl, heteroaryl lower alkyl, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N-lower alkyl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, sulfamoyl, lower alkyl sulfamoyl, cyano, and phenyl;
- R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, CN, and haloalkyl;
  - R<sup>14</sup> is H; alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl, substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl; -CH<sub>2</sub>NR<sup>16</sup>C(O)R<sup>16</sup>;-C(O)NR<sup>16</sup>R<sup>16</sup>; -CH<sub>2</sub>OC(O)R<sup>16</sup>; or -CH<sub>2</sub>SC(O)R<sup>16</sup>;
  - R<sup>15</sup> is H, alkyl, -C(O)X, -C(S)X, or -C(NCN)NR<sup>3</sup>R<sup>3</sup>;
  - R<sup>16</sup> is lower alkyl, substituted lower alkyl, aryl, or substituted aryl;
  - $R^{17}$  is H; alkyl, alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl;  $-CH_2NR^{16}C(O)R^{16}$ ;  $-C(O)NR^{16}R^{16}$ ;  $-CH_2OC(O)R^{16}$ ; or  $-CH_2SC(O)R^{16}$ ;
  - X is alkyl, aryl, arylalkyl, O-loweralkyl, or -NR<sup>3</sup>R<sup>3</sup>;
  - Z is  $-(CH_2)_{1-6}$ , optionally substituted with 1-3 lower alkyl;  $-CHR^2$ -,  $-Phe-CH_2$ -, where Phe is

optionally mono-substituted with halogen, lower alkyl, or alkoxy; or heteroarylene-(CH<sub>2</sub>)-;

m is 2 or 3; and

n is 4-9;

or a pharmaceutically acceptable salt thereof.

- 43. A compound of claim 42, wherein R<sup>12</sup> is sulfamoylphenyl.
- 44. A compound of claim 42, wherein  $R^{12}$  is p-sulfamoylphenyl.
- 45. A compound of claim 42, wherein:

 $R^1$  is OH, OCH<sub>2</sub>C(O)NH(CH<sub>2</sub>)<sub>1.6</sub> $R^{17}$ , or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1.6</sub> $R^{17}$ ;

R<sup>4</sup> and R<sup>5</sup> are each lower alkyl; or

R<sup>4</sup> and R<sup>5</sup> taken together are -(CH<sub>2</sub>)<sub>5</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-O-(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-NR<sup>8</sup>-(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-S-(CH<sub>2</sub>)<sub>2</sub>-, or -CH<sub>2</sub>CH(NCH<sub>3</sub>)(CH<sub>2</sub>)<sub>2</sub>CHCH<sub>2</sub>-;

 $R^{6}/R^{7}$  are H/OH; =0, or -S(CH<sub>2</sub>)<sub>2</sub>S-,

- R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl wherein the heteroatoms include 1 to 3 N atoms and the substituents are halo or amino, heteroaryl lower alkyl wherein heteroaryl is 6-membered and the heteroatoms are N, or aryl lower alkyl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;
- R° is lower alkyl, aryl lower alkyl, aryl, tetrahydrofuranyl, tetrahydropyranyl, or aryl substituted by 1 to 2 substituents selected from lower alkyl, alkenyl, alkoxy, methylene dioxy, and halo;
- R<sup>10</sup> and R<sup>11</sup> are each independently aryl, aryl lower alkyl, or aryl substituted by 1 substituent selected from lower alkyl, halo, alkoxy, trifluoromethyl, and pentafluoroethyl;
- R<sup>12</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl lower alkyl wherein the heteroatoms are N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from S and N

lower alkyl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, halo, sulfamoyl, cyano, or phenyl; and

 $R^{13}$ is lower alkyl, aryl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;

or a pharmaceutically acceptable salt thereof.

## 46. A compound of the formula:

$$R^{1} \xrightarrow{5} R^{6} R^{7}$$

$$R^{2} \times R^{5} = II$$

wherein:

 $R^1$  is  $O(CH_2)_{1-2}OH$ ,  $CO_2H$ ,  $O-Z-C(O)NH(CH_2)_{1-6}R^{17}$  or  $OCH_2-4$ -Phe-C(O)NH(CH<sub>2</sub>)<sub>1-6</sub>R<sup>17</sup>,  $R^2$  is H or lower alkyl;

R<sup>3</sup> is H, alkyl, aryl, or arylalkyl;

R<sup>4</sup> and R<sup>5</sup> are each independently H, lower alkyl, or substituted lower alkyl where the substituents are 1-3 alkoxy, aryl, substituted aryl, carboalkoxy, carboxamido or di-loweralkylamido; or

 $R^4$  and  $R^5$  taken together are -(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-O-(CH<sub>2</sub>)<sub>2</sub>-, -CH<sub>2</sub>-O-(CH<sub>2</sub>)<sub>3</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-NR<sup>8</sup>-CH<sub>2</sub>)<sub>2</sub>-,  $-CH_2-NR^8-(CH_2)_m$ -,  $-(CH_2)_2CH(NHR^8)(CH_2)_2$ -,  $-(CH_2)_2-S(O)_{0-2}-(CH_2)_2$ -, or  $\label{eq:ch2} {\rm CH_2CH(N-loweralkyl)(CH\ _2)_2CHCH\ _2^-\ ;}$ 

one of R<sup>6</sup> and R<sup>7</sup> is H and the other is H, OH, or N(CH<sub>2</sub>)<sub>1.6</sub>R<sup>14</sup>R<sup>15</sup>, or

 $R^6$  and  $R^7$  taken together are  $R^2$ ,  $R^2$ ,  $R^2$ ,  $R^2$ ,  $R^2$ ,  $R^2$ ,  $R^2$ , with the proviso that

when R<sup>1</sup> is -OH and R<sup>2</sup> is -H, R<sup>6</sup> and R<sup>7</sup> are not -H and -OH or when taken together are

not  $\Diamond$ ;

- R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl, or heteroaryl lower alkyl, wherein aryl is optionally substituted with 1-3 substituents selected from lower alkyl, lower alkoxy, halo, CN, NH<sub>2</sub>, COOH, CONH<sub>2</sub>, carboalkoxy, and mono- or di-lower alkylamino and wherein heteroaryl is a mono- or bicyclic heteroaromatic ring system of 5 to 10 members including 1 to 3 heteroatoms selected from O, N, and S and 0-3 substituents selected from halo, amino, cyano, lower alkyl, carboalkoxy, CONH<sub>2</sub>, and S-lower alkyl;
- R<sup>9</sup> is lower alkyl, aryl, aryl lower alkyl, heteroaryl, aryl substituted by 1-3 substituents selected from alkyl, alkenyl, alkoxy, methylene dioxy, and halo, or a 5- to 6-membered heterocyclic ring containing O or N as a heteroatom, wherein heteroaryl is a heteroaromatic ring of 5 to 6 members including 1 to 2 heteroatoms selected from O, N, and S and 0-2 substituents selected from lower alkyl, dialkylamino, lower alkoxy, and halo;
- R<sup>10</sup> and R<sup>11</sup> are each independently lower alkyl, aryl, aryl lower alkyl, or aryl substituted by 1-3 substituents selected from lower alkyl, halo, alkoxy and haloalkyl;
- R<sup>12</sup> is lower alkyl, aryl, heteroaryl, aryl lower alkyl, heteroaryl lower alkyl, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from O, S, and N-lower alkyl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, sulfamoyl, lower alkyl sulfamoyl, cyano, and phenyl;
- R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1-3 substituents selected from lower alkyl, alkoxy, halo, CN, and haloalkyl;
- R<sup>14</sup> is H; alkyl; alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl; -CH<sub>2</sub>NR<sup>16</sup>C(O)R<sup>16</sup>;-C(O)NR<sup>16</sup>R<sup>16</sup>; -CH<sub>2</sub>OC(O)R<sup>16</sup>; or -CH<sub>2</sub>SC(O)R<sup>16</sup>;

 $R^{15}$  is H, alkyl, -C(O)X, -C(S)X, or  $-C(NCN)NR^3R^3$ .

R<sup>16</sup> is lower alkyl, substituted lower alkyl, aryl, or substituted aryl;

R<sup>17</sup> is H; alkyl, alkyl substituted by 1-3 alkoxy, S-lower alkyl, sulfamoyl, halo, alkylsulphonamido, or arylsulphonamido; alkenyl; alkynyl; aryl; substituted aryl; heteroaryl; substituted heteroaryl; heterocycloalkyl; -CH<sub>2</sub>NR<sup>16</sup>C(O)R<sup>16</sup>; -C(O)NR<sup>16</sup>R<sup>16</sup>; -CH<sub>2</sub>OC(O)R<sup>16</sup>; or -CH<sub>2</sub>SC(O)R<sup>16</sup>;

X is alkyl, aryl, arylalkyl, O-loweralkyl, or -NR<sup>3</sup>R<sup>3</sup>;

Z is  $-(CH_2)_{1-6}$ , optionally substituted with 1-3 lower alkyl;  $-CHR^2$ -,  $-Phe-CH_2$ -, where Phe is optionally mono-substituted with halogen, lower alkyl, or alkoxy; or heteroarylene- $(CH_2)$ -;

m is 2 or 3; and

n is 4-9:

or a pharmaceutically acceptable salt thereof.

47. A compound of claim 46, wherein R<sup>12</sup> is sulfamoylphenyl.



48. A compound of claim 46, wherein  $R^{12}$  is p-sulfamoylphenyl.

49. A compound of claim 46, wherein:

OCH<sub>2</sub>C(O)NH(CH<sub>2</sub>)<sub>1.6</sub>R<sup>17</sup>, or OCH<sub>2</sub>-4-Phe-C(O)NH(CH<sub>2</sub>)<sub>1.6</sub>R<sup>17</sup>;

R<sup>4</sup> and R<sup>5</sup> are each lower alkyl; or

 $R^4 \text{ and } R^5 \text{ taken together are -(CH}_2)_5\text{-, -(CH}_2)_2\text{-O-(CH}_2)_2\text{-, -(CH}_2)_2\text{-, -(CH}_2)_2\text{-N} R^8\text{-(CH}_2)_2\text{-, -(CH}_2)_2\text{-, or } CH_2CH(NCH}_3)(CH}_2)_2\text{-, -(CH}_2)_2\text{-, or } CH}_2CH(NCH}_3)(CH}_2)_2\text{-, -(CH}_2)_2\text{-, or } CH}_2CH(NCH}_3)(CH}_2)_2\text{-, -(CH}_2)_2\text{-, or } CH}_2CH(NCH}_3)(CH}_2)_2\text{-, or } CH}_2CH(NCH}_3)(C$ 

 $R^6/R^7$  are H/OH; =O, or -S(CH<sub>2</sub>)<sub>2</sub>S-;

R<sup>8</sup> is H, COOR<sup>9</sup>, CONHR<sup>10</sup>, CSNHR<sup>11</sup>, COR<sup>12</sup>, SO<sub>2</sub>R<sup>13</sup>, lower alkyl, aryl lower alkyl, heteroaryl wherein the heteroatoms include 1 to 3 N atoms and the substituents are halo or amino, heteroaryl lower alkyl wherein heteroaryl is 6-membered and the heteroatoms are

N, or aryl lower alkyl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;

R<sup>9</sup> is lower alkyl, aryl lower alkyl, aryl, tetrahydrofuranyl, tetrahydropyranyl, or aryl substituted by 1 to 2 substituents selected from lower alkyl, alkenyl, alkoxy, methylene dioxy, and halo;

 $R^{10}$  and  $R^{11}$  are each independently aryl, aryl lower alkyl, or aryl substituted by 1 substituent selected from lower alkyl, halo, alkoxy, trifluoromethyl, and pentafluoroethyl;

is lower alkyl, aryl, aryl lower alkyl, heteroaryl lower alkyl wherein the heteroatoms are N, a 5- or 6-membered heterocyclic ring containing 1-2 heteroatoms selected from S and N lower alkyl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, halo, sulfamoyl, cyano, or phenyl; and

R<sup>13</sup> is lower alkyl, aryl, or aryl substituted with 1 substituent selected from lower alkyl, alkoxy, and halo;

or a pharmaceutically acceptable salt thereof.

 $R^{12}$ 

### Remarks

### Status of Claims

1-37	Claims of priority application
1-3 and 15-37	Canceled by preliminary amendment
38	Added by July 24, 2000 amendment
8-14	Canceled without prejudice in this paper
39-49	Added in this paper
4-7 and 39-49	Pending following entry of this paper